# EXHIBIT 3

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HDP/SB/21 based on PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> + **Application Number** 10/613,103 **TRANSMITTAL** July 7, 2003 Filing Date **FORM** Inventor(s) H. A. Liu (to be used for all correspondence after initial filing) **Group Art Unit** 2609 Wanda Z. Russell **Examiner Name** Attorney Docket Number 129250-001018/US ENCLOSURES (check all that apply) After Allowance Communication to \_\_\_ Assignment Papers Fee Transmittal Form (for an Application) Group LETTER SUBMITTING APPEAL Letter to the Official Draftsperson and Fee Attached BRIEF AND APPEAL BRIEF (w/clean Sheets of Formal Drawing(s) version of pending claims) Appeal Communication to Group M Amendment Licensing-related Papers (Notice of Appeal, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Revocation/POA and Change of Other Enclosure(s) Extension of Time Request Address (please identify below): Terminal Disclaimer Express Abandonment Request Request for Refund ☐ Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. Attorney Name **CAPITOL PATENT &** TRADEMARK LAW FIRM PLLC John E. Curtin 37,602 Individual name Signature June 27, ⁄2007 Date

THW



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/613,103

Filing Date:

July 7, 2003

Applicant:

H. A. Liu

Group Art Unit:

2609

Examiner:

Wanda Z. Russell

Title:

**METHODS** AND **DEVICES** 

FOR CREATING AN

ALTERNATIVE PATH FOR A BI-DIRECTIONAL LSP

Attorney Docket: 129250-001018/US

**Customer Service Window** Randolph Building 401 Dulany Street Alexandria, VA 22314

June 27, 2007

## Mail Stop—Amendment

## Amendment

Sir:

In response to the Office Action mailed April 6, 2007 the Applicant submits the following remarks.

**A Listing of Claims** begins on page 3 of this paper.

**A Remarks** section begins on page 10 of this paper.

## IN THE SPECIFICATION

Please replace the following paragraph of the specification. Applicant includes herewith an Attachment for Specification Amendments showing a marked up version of the replacement paragraph.

Page 1:

[0003] Existing MPLS Fast Re-routing techniques are effective in re-routing MPLS labeled traffic in an LSP acting independently relative to other LSPs. However, when LSPs are bundled together to operate in two directions, such as in bi-directional LSPs disclosed in U.S. Patent Application No. 10/613,104, MPLS Fast Re-routing does not perform well.

· The following is a complete listing of pending claims with status

IN THE CLAIMS

identifiers in parenthesis.

LISTING OF CLAIMS

1. (Original) A system for re-routing traffic from a bi-directional Label

Switched Path (LSP) comprising: an originating network device operable to: re-

route traffic traveling along a bi-directional LSP in a forward direction to an

alternate path in the forward direction; and transmit a switch over message

along the alternate path in the forward direction to a merging network device

responsible for re-routing traffic traveling along the bi-directional LSP in a

backward direction to the alternate path in the backward direction.

2. (Original) The system of claim 1, wherein the originating network device is

further operable to transmit a second message, along the alternate path in the

forward direction, to the merging network device to allow traffic to travel along

the bi-directional LSP in the backward direction when a failure is no longer

detected.

3. (Original) The system of claim 1, wherein the originating network device is a

multi-protocol label switched (MPLS) device.

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4. (Original) The system of claim 1 wherein the bi-directional LSP is comprised

of an LSP carrying traffic in the forward direction and another LSP carrying

traffic in the backward direction.

5. (Original) The system of claim 1 further comprising a merging network device

operable to receive the switch over message and to re-route traffic traveling

along the bi-directional LSP in the backwards direction to the alternate path in

the backwards direction based on the switch over message.

6. (Original) The system of claim 5, wherein, the merging network device is

further operable to: receive a second message along the alternate path in the

forward direction; and allow traffic to travel along the bi-directional LSP in the

backward direction when a failure is no longer detected based on said second

message.

7. (Original) The system of claim 5 wherein the merging network device is a

MPLS device.

8. (Original) A merging network device operable to: receive a switch over

message; and re-route traffic traveling along a bi-directional LSP in a

backwards direction to an alternate path in the backwards direction based on

the switch over message.

9. (Original) The device as in claim 8 further operable to: receive a second

message along the alternate path in the forward direction; and allow traffic to

travel along the bi-directional LSP in the backward direction when a failure is

no longer detected based on said second message.

10. (Original) The device of claim 8 wherein, the merging network device is a

MPLS device.

11. (Original) A method for re-routing traffic from a bi-directional LSP

comprising the steps of: re-routing traffic traveling along a bi-directional LSP in

a forward direction to an alternate path in the forward direction; and

transmitting a switch over message along the alternate path in the forward

direction to a merging network device responsible for re-routing traffic traveling

along the bi-directional LSP in a backward direction to the alternate path in the

backward direction.

12. (Original) The method of claim 11 further comprising the step of:

transmitting a second message, along the alternate path in the forward

direction, to the merging network device to allow traffic to travel along the bi-

directional LSP in the backward direction when a failure is no longer detected.

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13. (Original) The method of claim 11 wherein the bi-directional LSP is

comprised of an LSP carrying traffic in the forward direction and another LSP

carrying traffic in the backward direction

14. (Original) The method of claim 11 further comprising the steps of: receiving

the switch over message; and re-routing traffic traveling along the bi-directional

LSP in the backwards direction to the alternate path in the backwards

direction based on the switch over message.

15. (Original) The method of claim 14 further comprising the steps of: receiving

a second message along the alternate path in the forward direction; and

allowing traffic to travel along the bi-directional LSP in the backward direction

when a failure is no longer detected based on said second message.

16. (Original) A method for re-routing traffic comprising the steps of: receiving a

switch over message; and re-routing traffic traveling along a bi-directional LSP

in a backwards direction to an alternate path in the backwards direction based

on the switch over message.

17. (Original) The method of claim 16 further comprising the steps of: receiving

a second message along the alternate path in the forward direction; and

allowing traffic to travel along the bi-directional LSP in the backward direction

when a failure is no longer detected based on said second message.

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18. (Original) A system for re-routing traffic comprising: an originating network

device comprising: means for re-routing traffic traveling along a bi-directional

LSP in a forward direction to an alternate path in the forward direction; and

means for transmitting a switch over message along the alternate path in the

forward direction to a merging network device responsible for re-routing traffic

traveling along the bi-directional LSP in a backward direction to the alternate

path in the backward direction.

19. (Original) The system of claim 18, wherein the originating network device

further comprises means for transmitting a second message, along the

alternate path in the forward direction, to the merging network device to allow

traffic to travel along the bi-directional LSP in the backward direction when a

failure is no longer detected.

20. (Original) The system of claim 18 wherein the bi-directional LSP is

comprised of an LSP carrying traffic in the forward direction and another LSP

carrying traffic in the backward direction.

21. (Original) The system of claim 1 further comprising a merging network

device which comprises means for receiving the switch over message and

means for re-routing traffic traveling along the bi-directional LSP in the

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backwards direction to the alternate path in the backwards direction based on

the switch over message.

22. (Original) The system of claim 21, wherein, the merging network device

further comprises: means for receiving a second message along the alternate

path in the forward direction; and means for allowing traffic to travel along the

bi-directional LSP in the backward direction when a failure is no longer

detected based on said second message.

23. (Original) A merging network device comprising: means for receiving a

switch over message; and means for re-routing traffic traveling along a bi-

directional LSP in a backwards direction to an alternate path in the backwards

direction based on the switch over message.

24. (Original) The device as in claim 23 further comprising: means for receiving

a second message along the alternate path in the forward direction; and means

for allowing traffic to travel along the bi-directional LSP in the backward

direction when a failure is no longer detected based on said second message.

25. (Original) A system for re-routing traffic comprising: means for re-routing

traffic traveling along a bi-directional LSP in a forward direction to an alternate

path in the forward direction; means for transmitting a switch over message,

along the alternate path in the forward direction, for re-routing traffic traveling

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along the bi-directional LSP in a backward direction; means for receiving the switch over message; and means for re-routing traffic traveling along the bi-directional LSP in a backwards direction to the same alternate path in the backwards direction based on the switch over message.

#### **REMARKS**

### A. The Section 102 Rejections

Claims 1-25 were rejected under 35 U.S.C. §102(a) based on U. S. Patent Publication No. 2003/0063613 to Carpini et al ("Carpini"). Applicant disagrees and traverses these rejections for at least the following reasons.

Each of the claims of the present invention includes the feature of, among other things, the re-routing of traffic from a bi-directional Label Switched Path (LSP) and the use of a <a href="switch-over">switch-over</a> message by a <a href="merging">merging</a> network device to re-route traffic traveling along the bi-directional LSP in a backwards direction. Carpini does not appear to disclose or suggest either feature.

Contrary to the Examiner's position, Carpini does not appear to disclose "bi-directional LSPs". Instead, Carpini appears to disclose the use of separate, one-way LSPs; one for the forward direction and one for the backwards direction.

In addition, there is no disclosure or suggestion of a switch-over message being used by a merging network device to re-route traffic in a backwards direction. The excerpts cited in the Office Action (paragraph 47, lines 15-19) appear to relate to the detection of a "fault indication" message, not a switch-over message. The former relates to fault detection; the latter relates to the re-routing of traffic along an alternative, bi-directional LSP in a backwards direction.

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Because Carpini does not disclose each and every feature of claims 1-25

· it cannot anticipate the subject matter of these claims under 35 U.S.C. §102(a).

Accordingly, Applicant respectfully requests withdrawal of the pending

rejections and allowance of claims 1-25.

Should there be any outstanding matters that need to be resolved in the

present application, the Examiner is respectfully requested to contact John E.

Curtin at the telephone number listed below.

If necessary, the Commissioner is hereby authorized in this, concurrent,

and future replies, to charge payment or credit any overpayment to Deposit

Account No. 50-3777 for any additional fees required under 37 C.F.R. § 1.16 or

under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

CAPITOL PATENT & TRADEMARK LAW FIRM, PLLC.

 $By_{\underline{}}$ 

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#### **REMARKS**

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## ATTACHMENT FOR SPECIFICATION AMENDMENT REPLACEMENT PARAGRAPH MARKED-UP VERSION

[0003] Existing MPLS Fast Re-routing techniques are effective in re-routing MPLS labeled traffic in an LSP acting independently relative to other LSPs. However, when LSPs are bundled together to operate in two directions, such as in bi-directional LSPs disclosed in U.S. Patent Application No. [[----- filed concurrently with the present application]], MPLS Fast Re-routing does not perform well.